



PATENT

Docket No. IL-11083

Customer No. 24981

22386 U.S. PTO  
10/668812



Commissioner for Patents  
Alexandria, VA 22313

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):  
**Jason M. Ortega, Kambiz Salari**

For (title): **APPARATUS AND METHOD FOR REDUCING DRAG OF A BLUFF  
BODY IN GROUND EFFECT USING COUNTER-ROTATING VORTEX  
PAIRS**

**1. Type of Application**

- ☒ This new application is for an original patent.
- ☐ This new application is a:
  - ☐ Division
  - ☐ Continuation
  - ☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

**3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:** 60/412,417 9/20/2002  
Application Serial No. Filing Date

**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

- 9 Pages of specification
- 6 Pages of claims
- 1 Pages of abstract
- 4 Sheets of drawings
  - ☒ formal

**5. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	25	-20 =	5	x \$18 =	\$ 90.00
Independent Claims	7	-3 =	5	x \$84 =	\$ 420.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 750.00
				Sub-Total	= \$ 1260.00
				Small Entity Filing Fee	= \$ 630.00

**10. Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is available upon request.
- ☐ Copy of Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 filed in prior application. Status still proper and desired.

Filing Fee Calculation (50% of regular filing fee) \$ 630.00

**11. Fee Payment**

- ☐ Not Enclosed
- ☒ Enclosed (See Account No. Below)

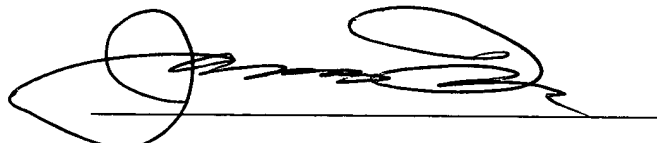
**Total Basic Filing Fees To Be Paid** \$ 630.00

**12. Method of Payment of Fees**

- ☐ Check in the Amount of \$ \_\_\_\_\_
- ☒ Charge Account No. 12-0695 in the amount of \$ 630.00
- A duplicate of this transmittal is attached.**

**13. Instructions As To Overpayment/Underpayment**

- ☒ credit/charge
- Account No. 12-0695
- ☐ refund



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Dated: 9/22/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason M. Ortega et al.

Attorney Docket No.: IL-11083

Serial No. :

Art Unit:

Filed :

Examiner:

For : APPARATUS AND METHOD FOR REDUCING DRAG OF A BLUFF  
BODY IN GROUND EFFECT USING COUNTER-ROTATING  
VORTEX PAIRS

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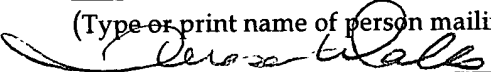
EXPRESS MAIL CERTIFICATE"Express Mail" label number EV268202783USDate of Deposit 9-22-2003I hereby certify that the following *attached*

1. Recordation Cover Sheet
2. Assignment
3. New Application Transmittal (in duplicate)
4. Application  
(Specification 9 pages, Claims 5 pages, Abstract 1 page; Combined  
Declaration Power of Attorney 3 pages; Four (4) sheets of formal  
drawings)
5. Certificate of Mailing
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: Patent Application, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Teresa Walls

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)